

## Capture securities opportunities by making well-informed and timely decisions.



Move ahead of securities markets with Refinitiv market information.

We believe every dollar counts when it comes to maximising your returns. That's why we offer you:

Trade lodgement waiver	+	Custodial fee waiver
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### Staying ahead of the curve

#### Trading platform powered by Refinitiv

- With the real-time and comprehensive market data provided by Refinitiv, you can select the right stocks with a top down approach, from market, sector to company, just like an expert.

#### Sector comparison

- Compare the performance of different sectors and against market index
- With Sector Heatmaps, view up-to-date performance based on turnover or market capitalisation

#### Stock selection with Stock Report+

- Average stock performance score** – Evaluate an opportunity with a score out of 10
- Peer stock comparison** – Compare peer companies in the same sector with the average score trends in different time frames
- Component scores** – Provide a weekly score containing quantitative analysis of the company including earnings, fundamental, relative valuation, risk and price momentum
- Refinitiv Broker Rating** – Provide an average recommendation from all analysts of a particular stock on a 5-point scale from positive to negative

- Offer free charting with 15 technical tools and drawing functions, analysis on A+H share performance and warrant/CBBCs selectors to help you make well-informed decisions.

### All-in-One

- Buy and sell securities listed in Hong Kong, Shanghai and Shenzhen stock markets<sup>1</sup> within one single securities account.

### Free real-time quotes

- Provide unlimited free real-time basic stock quotes.
- Real-time detailed quotes<sup>2</sup> provide additional information including last five executed trade details and broker queue.

### Personalised price alerts

- SMS<sup>3</sup> and/or free email price alerts<sup>4</sup> allow you to get timely notification when the stock price reaches a pre-set price level, net change, percentage change, 52-week high or 52-week low.

### Wide range of order types

- Provide enhanced limit order/CAS at-auction limit order, stop loss order, market order and morning at-auction limit order to maximise your investment opportunities.

<sup>1</sup> Only eligible securities under Northbound Trading of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect are allowed. Shenzhen-Hong Kong Stock Connect currently supports order placement over Securities Services Hotline only.

<sup>2</sup> Free real-time detailed quote entitlement will be based on your Banking Plans with Standard Chartered Bank (Hong Kong) Limited (the "Bank").

<sup>3</sup> HKD1 will be charged for each SMS price alert.

<sup>4</sup> Each client is entitled to up to 200 free email price alerts per month.

### Smart trading on mobile – SC Equities

We are proud to introduce SC Equities, our mobile app which offers free unlimited real-time stock quotes<sup>2</sup> to help you keep track of the latest stock price and capture investment opportunities anytime, anywhere.

### Dashboard

View major market indices, create a personalised stock watch list and access real time market news.

### Portfolio

View all stock holdings and portfolio value at a glance.

### Trading

Buy and sell Hong Kong stocks with just three clicks. We support various order types which you can modify and cancel orders via the Hotline and Online Banking.

### Quote

Enjoy the ultimate convenience of FREE unlimited real-time stock quotes<sup>2</sup> without logging in, and swipe with your finger to rotate views including detailed stock information, price charts, related market news and recent transactions<sup>5</sup> made.

### Download SC Equities mobile app now.

For iPhone



For Android



<sup>5</sup> Recent stock transactions enquiry is available after logging into SC Equities mobile app.

### Standard Chartered China Connect Securities Services (China A Shares through Northbound Trading Link)

#### Advantages of China Connect

- Participate in the emerging economy – China and capture Renminbi ("RMB") investment opportunities.
- Diversify your investment portfolio with shares of the China markets<sup>6</sup> without the need to open an account in Mainland China.
- Enjoy exclusive securities and cash settlement on the trade day.

### Trading orders under SEHK and China Connect

	Hong Kong Stocks	A Shares through China Connect
Settlement currency	HKD, RMB & USD	RMB
Investment quota	No limit	Daily quota: RMB52 billion for each of the Shanghai Connect and the Shenzhen Connect <sup>7</sup>
Board lot size	Depends on the stocks	100 shares <sup>8</sup>
Maximum order size	3,000 board lots per transaction	1 million shares
Price limit	No limit	A general price limit of +/-10% based on previous closing price (All orders input must be at or within the price limit, otherwise, it will be rejected by SSE)
Tick size	Ranging from HKD0.001 to HKD5, depending on the stock price	Uniformly set at RMB0.01
Order types	Enhanced limit order/CAS at-auction limit order, stop loss order, market order and morning at-auction limit order	Only allow limit order

<sup>6</sup> The full list of eligible SSE and SZSE securities will be published on the HKEx website and will be updated from time to time.

<sup>7</sup> For more details about the mechanics of the investment quota, please visit HKEx's official website.

<sup>8</sup> Buy orders must be in board lot and odd lot trading is only available for sell orders from clients holding odd lot shares. Investor should also note that all buy trades may be matched with different odd lot sell orders, resulting in odd lot trades.

### Log into Online Securities Trading or SC Equities to trade now.

#### Visit [sc.com/hk/securities](http://sc.com/hk/securities) for more details.

Securities Services Hotline  
 Priority Banking: **2886 6000**  
 Premium Banking: **2886 7000**  
 Easy Banking/General Banking: **2886 8000**  
 General Enquiry Hotline: **2886 8868**

### Securities services charges

	Trade-related services	
	Hong Kong market	China markets
Securities brokerage	<ul style="list-style-type: none"> <li>Online Banking &amp; SC Equities: 0.20% (Minimum brokerage: HKD50)</li> <li>Securities Hotline: 0.30% (Minimum brokerage: HKD150)</li> </ul>	<ul style="list-style-type: none"> <li>Online Banking: 0.20% (Minimum brokerage: RMB45)</li> <li>Securities Hotline: 0.30% (Minimum brokerage: RMB135)</li> </ul>
Handling fee (by SSE/SZSE)	N/A	0.00487% of the consideration of a transaction per side
Transaction levy (by The Securities and Futures Commission of Hong Kong)	0.0027% on transaction amount for each transaction	N/A
Trading fee (by The Hong Kong Exchange & Clearing Limited)	0.005% on transaction amount for each transaction	N/A
Securities management fee (by China Securities Regulatory Commission)	N/A	0.002% of the consideration of a transaction per side
Transfer fee (by ChinaClear)	N/A	0.002% of the consideration of a transaction per side
Transfer fee (by Hong Kong Securities Clearing Company Limited)	N/A	0.002% of the consideration of a transaction per side
Stamp duty	0.1% on transaction amount (Rounded up to the nearest dollar) (by The Hong Kong Government)	0.1% of the consideration of a transaction on the seller (by SAT)

	Trade-related services	
	Hong Kong market	China markets
Stock settlement fee (by The Hong Kong Securities & Clearing Company Limited)	0.002% on transaction amount, minimum HKD2, maximum HKD100 (applicable to CCASS Stock only)	N/A
Capital gains tax and business tax (by SAT)	N/A	Waived
Stock settlement-related services		
Transfer deed stamp duty (by The Hong Kong Government)	HKD5 for each transfer deed of new certificate and stamp duty is payable by the seller only	N/A
Stock transfer-in <sup>9</sup> fee (Scripless)	HKD1 CCASS SI instruction input fee for stock transfer-in	
Physical share certificate deposit <sup>10</sup> fee	For Non-CCASS stock deposit, HKD10 per board lot, minimum HKD30	N/A
Stock transfer-out fee (Scripless)	<ul style="list-style-type: none"> <li>HKD5 per board lot, minimum HKD30</li> <li>HKD1 CCASS SI instruction input fee</li> </ul>	
Stock withdrawal fee	<ul style="list-style-type: none"> <li>HKD5 per board lot, minimum HKD30</li> <li>For registered or bearer debt securities safe-kept in CCASS, plus relevant CCASS charge</li> </ul>	N/A
Nominee services and corporate actions		
Collection of cash dividend	If the dividend amount is: <ul style="list-style-type: none"> <li>Less than HKD30/RMB25: the dividend amount; or</li> <li>HKD30/RMB25 or more: 0.5% of the dividend amount (Minimum: HKD30/RMB25)</li> </ul>	
Collection of scrip dividend	0.5% on value of cash equivalent, minimum HKD15/RMB12	

Information services			
Real-time detailed quote (applicable to Online Securities Trading only)			
	Priority Banking clients	Premium Banking clients	Easy Banking/ Non-relationship package clients
FREE quotes per month	1,000 quotes	500 quotes	200 quotes
Additional FREE quotes	100 additional quotes for every executed securities transaction		
Subsequent charge	HKD0.1 per quote. Minimum HKD10 per month		
Real-time stock alerts (applicable to registration via Standard Chartered Online only)			
	SMS	Email	
Charge	HKD1 per quote	FREE 200 per month (thereafter HKD 0.1/quote, minimum HKD10/month)	

<sup>9</sup> CCASS Partipate ID:C00039

<sup>10</sup> To deposit physical share certificates, please visit 15<sup>th</sup> Floor, Standard Chartered Tower, 388 Kwun Tong Road, Kwun Tong, Kowloon. The complete list of charges can be found in Service Charges Booklet, charges may be subject to change.

### Remarks for China Connect securities service:

Please note the difference in trading hours for Hong Kong and China Stock markets:

	Hong Kong market		China markets	
	Trading session	Trading hours	Trading session	Trading hours
Auction session		09:00 - 09:20	Opening call auction	09:15 - 09:25 <sup>11</sup>
Continuous trading (Morning)		09:30 - 12:00	Continuous auction (Morning)	09:30 - 11:30
Continuous trading (Afternoon)		13:00 - 16:00	Continuous auction (Afternoon)	13:00 - 14:57
Closing auction session		16:00 - 16:10 <sup>12</sup>	Closing call auction	14:57 - 15:00 <sup>11</sup>

<sup>11</sup> SSE/SZSE will not accept order cancellation during 09:20 - 09:25 and 14:57 - 15:00.

<sup>12</sup> Market closes randomly within 16:08 - 16:10.

### Risk Disclosure Statement:

- Investment involves risks. The prices of securities fluctuate, sometimes dramatically and may become valueless. Past performance of any securities is no guide to its future performance. Investors should consider their own investment objectives and risk tolerance level, and read the Terms and Conditions of relevant Securities Services before making any investment decision.
- Renminbi ("RMB") exchange rate, like any other currency, is affected by a wide range of factors and is subject to fluctuations. Such fluctuations may result in gains and losses in the event that the client subsequently converts RMB to another currency (including Hong Kong dollars); and
- RMB is currently not freely convertible and conversion of RMB through banks in Hong Kong is subject to restrictions specified by the Bank and regulatory requirements applicable from time to time. The actual conversion arrangement will depend on the restrictions prevailing at the relevant time.

### Important Notes of SMS/Email Communications:

- Client is responsible for charges that may be imposed by individual mobile network operator (including but not limited to roaming service charges).
- You will not receive a personal call to inform you of your order status if you have enrolled for SMS/email order confirmation or placed your order through the online channel. Please use order status enquiry function to check the updated status of your order.
- SMS order confirmation and price alert services require pre-registration and are subject to the relevant Terms and Conditions.
- The Bank gives no warranty as to the timeliness or reliability of the SMS/email communications, and shall have no liability in the event that the client fails to receive such communication.

### Important Notes of Online Securities Trading and SC Equities:

- The Bank will not be liable for any loss or damage to you as a result of making the Online Securities Trading Services available to you, unless the loss or damage is directly caused by our negligence or our wilful default.
- For more details and the risks involved, please refer to the Securities Services Terms and Conditions or contact our branch staff.

### Note:

This leaflet does not constitute any offer, invitation or recommendation to any person to enter into any transaction described therein or any similar transaction, nor does it constitute any prediction of likely future price movements. Investor(s) should not only make investment decisions based on this leaflet. This leaflet has not been reviewed by the Securities and Futures Commission or any regulatory authority in Hong Kong.



渣打深明每一分一毫都可增加您的投資回報，所以特別為您提供：

買入股票存入費用豁免 + 保管服務費豁免

### 多項增值服務 助您早著先機

#### 由Refinitiv提供數據及分析的交易平台

- 透過由Refinitiv所綜合的即時及全面數據，讓您可按市場、行業板塊及公司，如專家般篩選適合的股票：

#### ▶ 行業板塊比較

- 比較不同行業板塊的表現，並與市場指數作比較
- 根據成交金額或市值追蹤最新行業和股票表現

#### ▶ 透過股票報告選擇股票：

- 平均得分** — 為投資機會評分，最高為10分
- 同業比較** — 以平均得分走勢比較同一板塊的同類股票，了解不同時段的評分走勢
- 得分闡述** — 提供結合盈利、基本因素、相對估值、風險及價格動力定量分析後的每周評分

– **Refinitiv經紀評級** – 就一特定股票綜合各分析師評價，由正面至負面為股票作5分制評級，提供專業意見

- 提供免費圖表，可配合15種技術工具及繪圖功能、A+H股表現分析及高輪/牛熊證篩選器，助您作出精明決定。

### 一站式交易平台

- 一個股票投資戶口即可買賣香港、上海及深圳股票市場<sup>1</sup>上之股票。

### 免費即時報價

- 提供無限免費即時股票基本報價。
- 即時市場詳細報價<sup>2</sup>提供額外資料包括最近5宗市場交易的詳細資料及經紀掛盤資訊等。

### 自訂股價提示服務

- 短訊<sup>3</sup>及/或免費電郵股價提示服務<sup>4</sup>讓您在股票到達預設價位、升跌、升跌幅度、52週高位或52週低位時，立即以短訊及/或電郵接收通知。

### 買賣指示齊備

- 提供增強限價盤/收市競價限價盤、止蝕限價盤、市價盤及早上競價限價盤，讓您盡握投資優勢。

- 交易只適用於「滬港通」或「深港通」股票交易機制中合資格之股票。「深港通」現僅支援透過股票投資服務熱線進行股票買賣。
- 免費即時詳細報價數目會因應您使用渣打銀行(香港)有限公司(「本行」)之綜合理財服務而有所不同。
- 每個短訊股價提示為港幣1元。
- 客戶可免費接收最多200個電郵股價提示。

### 多項簡易功能 令交易更輕鬆

我們的網上銀行平台及SC Equities手機應用程式讓您享有免費無限即時股票報價<sup>2</sup>，緊貼最新市況，時刻先機在握。

### 市場速遞

- 讓您查看主要市場指數、設立個人心水股票觀察名單及瀏覽即時市場資訊。

### 投資組合

- 記錄了您個別股票的持有量、價值以及投資組合的總價值，讓您一目了然。

### 交易

- 只須按3次即可買賣港股。我們提供多款買賣指示，您亦可更改及取消透過股票投資服務熱線或網上理財設定之交易指示。

### 報價

- 無須登入亦可免費獲享無限即時股票報價<sup>2</sup>，極盡方便，更可透過手機瀏覽不同類型的資訊，包括詳盡報價、圖表、相關新聞，以及最近交易紀錄<sup>5</sup>。

### 立即下載SC Equities手機應用程式。

適用於 iPhone



適用於 Android



- 最近之股票交易紀錄須登入SC Equities手機應用程式查詢。

### 渣打「中華通」股票投資服務

(「滬股通」及「深股通」)

### 「中華通」優勢

- 讓您參與中國這個新興經濟體，並把握人民幣投資的新機遇。
- 無須開立內地戶口便可涉足中國市場的股票<sup>6</sup>，令投資組合更多元化。
- 股票交收及資金結算日均於交易日即日完成。

### 聯交所及「中華通」之交易指示

	港股	透過「中華通」買賣之上海/深圳A股
結算貨幣	港幣、人民幣、美元	人民幣
投資額度	沒有額度限制	每日額度： 滬股通及深股通分別為人民幣520億元 <sup>7</sup>
每股股數	按個別股票而定	買盤每手均為100股 <sup>8</sup>
最高買賣盤數量	每宗交易最高買賣盤數量為3,000手	100萬股
價格限制	沒有限制	落盤價位不可超過前一個交易日收市價的+/-10% (所有落盤價位必須在價格限制範圍之內，否則其落盤指示將被上交所拒絕)
最低上落價位	按股票價格由港幣0.001元至港幣5元不等	劃一為人民幣0.01元
買賣指示	提供增強限價盤/收市競價限價盤、止蝕限價盤、市價盤及早上競價限價盤	只提供限價盤

- 最新「中華通」股票名單將載於香港交易所網站，並不時更新。
- 有關「中華通」投資額度運作安排詳情，請瀏覽香港交易所網站。
- 買盤必須以整手落盤，僅手持碎股的投資者可進行碎股賣盤。投資者亦須留意所有「中華通」的股票買盤可能會與碎股賣盤相撮合，形成碎股交易。

### 請即登入網上股票服務或SC Equities進行交易。

### 瀏覽sc.com/hk/zh/securities了解更多。

### 股票投資服務熱線

「優先理財」：2886 6000

「Premium理財」：2886 7000

「快易理財」/非綜合理財：2886 8000

一般查詢：2886 8868

### 股票投資服務收費表

	股票交易服務	
	香港市場	中國市場
股票佣金	<ul style="list-style-type: none"> <li>網上銀行及SC Equities：0.20% (最低佣金收費為港幣50元)</li> <li>股票熱線：0.30% (最低佣金收費為港幣150元)</li> </ul>	<ul style="list-style-type: none"> <li>網上銀行：0.20% (最低佣金收費為人民幣45元)</li> <li>股票熱線：0.30% (最低佣金收費為人民幣135元)</li> </ul>
經手費 (由上交所/深交所收取)	不適用	雙邊成交金額0.00487%
交易徵費 (由證監會收取)	每宗交易須按成交金額繳付0.0027%	不適用
交易費 (由香港交易所收取)	每宗交易須按成交金額繳付0.005%	不適用
證管費 (由中國證監會收取)	不適用	雙邊成交金額0.002%
過戶費 (由中國結算收取)	不適用	雙邊成交金額0.002%
過戶費 (由香港結算收取)	不適用	雙邊成交金額0.002%
印花稅	每宗交易須按成交金額繳付0.1%，而不足港幣1元之數亦須當作港幣1元計 (由香港政府收取)	只收賣方成交金額0.1% (由國家稅務總局收取)

	股票交易服務	
	香港市場	中國市場
結算費 (由香港中央結算有限公司收取)	每張中央結算系統股票須按成交金額繳付0.002%交易費用，最低收費港幣2元，最高收費港幣100元	不適用
資本增值稅及營業稅 (由國家稅務總局收取)	不適用	豁免
股票交收服務		
釐印費 (由香港政府收取)	每張新的轉手紙須繳納轉手紙釐印費港幣5元予香港政府，由註冊股份持有人，即賣方繳納	不適用
股票存入 <sup>9</sup> 費用 (非實貨)	股票存入 (非實貨) 收取中央結算交收指示輸入收費港幣1元	不適用
股票實貨存入 <sup>10</sup> 費用	存入非中央結算系統實貨股票，每買賣單位港幣10元 (最低收費港幣30元)	不適用
股票提取費用 (非實貨)	<ul style="list-style-type: none"> <li>每買賣單位收費港幣5元 (最低收費港幣30元)</li> <li>另加中央結算交收指示輸入港幣1元</li> </ul>	不適用
股票實貨提取費用	<ul style="list-style-type: none"> <li>每買賣單位收費港幣5元 (最低收費港幣30元)</li> <li>託管於中央結算系統之記名或不記名債券，另加中央結算之有關收費</li> </ul>	不適用
代理人服務及企業行動		
代收現金股息	如股息款額： <ul style="list-style-type: none"> <li>少於港幣30元/人民幣25元：股息款額；或</li> <li>港幣30元/人民幣25元或以上：股息款額之0.5% (最低收費港幣30元/人民幣25元)</li> </ul>	不適用
代收票據股息	票據股息現金總值之0.5% (最低收費港幣15元/人民幣12元)	不適用

資訊服務			
即時詳細報價 (只適用於網上股票服務)			
	「優先理財」客戶	「Premium理財」客戶	「快易理財」客戶/非綜合理財客戶
每月免費即時報價	1,000個	500個	200個
額外免費即時報價	每宗成功股票交易可獲100個額外即時報價		
其後收費	每個報價收費港幣0.1元，最低收費每月港幣10元		
即時到價提示服務 (只適用於透過渣打網上之登記)			
	SMS短訊	電郵	
收費	每個提示收費港幣1元	每月首200個提示免費 (之後每個提示收費港幣0.1元，最低收費每月港幣10元)	

<sup>9</sup> 中央結算參考者編號：C00039

<sup>10</sup> 存入實物股票，請前往九龍觀塘觀塘道388號渣打中心15樓辦理。收費詳情請參閱服務收費手冊或本行網頁，有關收費可被修改。

### 「中華通」股票投資服務之備註：

請留意香港市場和中國市場交易時段之差異：

香港市場		中國市場	
交易時段	交易時間	交易時段	交易時間
競價時段	09:00 - 09:20	開市集合競價	09:15 - 09:25 <sup>11</sup>
持續交易時段 (早市)	09:30 - 12:00	連續競價 (早市)	09:30 - 11:30
持續交易時段 (午市)	13:00 - 16:00	連續競價時段 (午市)	13:00 - 14:57
收市競價時段	16:00 - 16:10 <sup>12</sup>	收市集合時段	14:57 - 15:00 <sup>11</sup>

<sup>11</sup> 於上午9時20分至上午9時25分及下午2時57分至下午3時，上交所及深交所不接受取消買賣盤的指令。

<sup>12</sup> 市場於下午4時08分至4時10分隨機收市。

### 投資風險聲明：

- 投資涉及風險。證券價格有時可能會非常波動，甚至變得毫無價值。過往的表現並非其將來表現的指引。投資前投資者應考慮其本身的投資目標及風險承受程度，並參閱相關股票投資服務的條款及細則。
- 人民幣匯率，如同其他貨幣一樣，有機會受廣泛因素影響而導致波動。客戶於兌換人民幣至其他貨幣 (包括港幣) 時，將可能受匯率波動而帶來利潤或損失；及
- 人民幣現時並非自由兌換的貨幣；同時透過香港銀行兌換人民幣須不時受本行所定或監管要求限制。實際的兌換安排須依據當時的限制而定。

### 流動電話短訊或電郵通訊之重要提示：

- 客戶須支付其電訊服務之有關費用 (包括但可能不限於國際漫遊服務費用)。
- 若您已登記流動電話短訊/電郵即時覆盤服務或經網上進行股票買賣，您將不會接獲專人覆盤。請使用「買賣指示處理狀況」以查詢最新交易狀況。
- 客戶須預先登記短訊覆盤及/或到價提示服務並受有關條款及細則約束。
- 本行並不保證短訊/電郵通訊會及時發出，客戶如並未收到有關通訊，本行亦概不負責。

### 網上及SC Equities手機應用程式股票交易之重要提示：

- 本行對於客戶因透過網上股票交易平台進行交易而招致任何形式之損失或損害，本行無須承擔責任。除非有關損失或損害是因本行疏忽或蓄意失責直接引致。
- 查詢更多詳情及所涉之風險，請參閱股票投資服務條款及細則或與本行職員聯絡。

### 註：

本單張並不構成對任何人士提出進行上述任何交易或任何類似交易的招攬、邀請或建議，亦不構成對未來價格變動的任何預測。投資者不應只單憑本單張而作出投資決定。本單張未經證券及期貨事務監察委員會或香港任何監管機構審閱。

## 作出明智及適時決定 掌握股市投資機遇



### 憑藉Refinitiv市場資訊 在股市走到最前

REFINITIV