



Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

For the month ended: 31 August 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer: Standard Chartered PLC

Date Submitted: 06 September 2021

I. Movements in Authorised / Registered Share Capital Not applicable

II. Movements in Issued Shares

1. Type of shares	Ordinary shares	Class of shares	Not applicable	Listed on SEHK (Note 1)	Yes	
Stock code	02888	Description				
Balance at close of preceding month			3,119,033,863			
Increase / decrease (-)			-16,190,877			
Balance at close of the month			3,102,842,986			

2. Type of shares	Preference shares	Class of shares	Other class (specify in description)	Listed on SEHK (Note 1)	No	
Stock code	N/A	Description	99,250,000 8 1/4 per cent non-cumulative irredeemable preference shares of GBP1 each with no equity voting rights; 96,035,000 7 3/8 per cent non-cumulative irredeemable preference shares of GBP1 each with no equity voting rights			
Balance at close of preceding month			195,285,000			
Increase / decrease (-)						
Balance at close of the month			195,285,000			

3. Type of shares	Preference shares	Class of shares	Other class (specify in description)	Listed on SEHK (Note 1)	No	
Stock code	N/A	Description	7,500 6.409 per cent non-cumulative redeemable preference shares of US\$5 each with no equity voting rights; 7,500 7.014 per cent non-cumulative redeemable preference shares of US\$5 each with no equity voting rights			
Balance at close of preceding month			15,000			
Increase / decrease (-)						
Balance at close of the month			15,000			

III. Details of Movements in Issued Shares

(A). Share Options (under Share Option Schemes of the Issuer)

1. Type of shares issuable		Ordinary shares	Class of shares	Not applicable	Shares issuable to be listed on SEHK (Note 1)	No	
Stock code of shares issuable (if listed on SEHK) (Note 1)							
Particulars of share option scheme	Number of share options outstanding at close of preceding month	Movement during the month		Number of share options outstanding at close of the month	No. of new shares of issuer issued during the month pursuant thereto (A)	No. of new shares of issuer which may be issued pursuant thereto as at close of the month	The total number of securities which may be issued upon exercise of all share options to be granted under the scheme at close of the month
1). 2011 Discretionary Share Plan - Performance Share Award	7,843	Exercised	-941	6,902	0	6,902	6,902
General Meeting approval date (if applicable)		05 May 2011					
2). 2011 Discretionary Share Plan - Restricted Share Award	41,535,718	Granted	34,343	41,506,643	0	41,506,643	41,506,643
		Exercised	-38,742				
		Lapsed	-24,676				
General Meeting approval date (if applicable)		05 May 2011					
3). 2011 Discretionary Share Plan - Management Long Term Incentive Plan	7,483,578	Granted	1,557	7,485,135	0	7,485,135	7,485,135
General Meeting approval date (if applicable)		05 May 2011					
4). 2011 Discretionary Share Plan - Executive Long Term Incentive Plan	4,157,633	Granted	1,717	4,159,350	0	4,159,350	4,159,350
General Meeting approval date (if applicable)		05 May 2011					
5). Standard Chartered 2013 Sharesave Plan	14,163,726	Lapsed	-168,677	13,995,049	0	13,995,049	13,995,049

General Meeting approval date (if applicable)		08 May 2013						
6).	2021 Discretionary Share Plan - Restricted Share Award	197,111	Granted	263	197,374	0	197,374	197,374
General Meeting approval date (if applicable)		12 May 2021						

Total A (Ordinary shares): _____ 0

Total funds raised during the month from exercise of options: GBP _____ 0

Remarks:

Ordinary Shares mean Ordinary Shares of US\$0.50 each with voting rights for every US\$2 nominal)

(B). Warrants to Issue Shares of the Issuer which are to be Listed Not applicable

(C). Convertibles (i.e. Convertible into Issue Shares of the Issuer which are to be Listed)

1. Type of shares issuable	Ordinary shares	Class of shares	Not applicable	Shares issuable to be listed on SEHK (Note 1)	Yes		
Stock code of shares issuable (if listed on SEHK) (Note 1)	02888						
Description of the Convertibles	Currency	Amount at close of preceding month	Movement during the month		Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto (C)	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1). US\$2 billion 7.5% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	2,000,000,000	Redeemed	-995,705,000	1,004,295,000	0	129,888,127
Type of convertibles	Others (Please specify)		Ordinary shares				
Stock code of the Convertibles (if listed on SEHK) (Note 1)	04305						
Subscription/Conversion price	USD	7.732					
General Meeting approval date (if applicable)	04 May 2016						

2).	US\$1 billion 7.75% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,000,000,000			1,000,000,000	0	129,332,643
Type of convertibles		Others (Please specify)		Ordinary shares				
Stock code of the Convertibles (if listed on SEHK) (Note 1)		05348						
Subscription/Conversion price		USD 7.732						
General Meeting approval date (if applicable)		04 May 2016						
3).	SGD750,000,000 5.375% Resetting Perpetual Subordinated Contingent Convertible Securities	SGD	750,000,000			750,000,000	0	68,750,572
Type of convertibles		Others (Please specify)		Ordinary Shares				
Stock code of the Convertibles (if listed on SEHK) (Note 1)		05986						
Subscription/Conversion price		SGD 10.909						
General Meeting approval date (if applicable)		08 May 2019						
4).	US\$1 billion 6% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,000,000,000			1,000,000,000	0	187,582,067
Type of convertibles		Others (Please specify)		Ordinary Shares				
Stock code of the Convertibles (if listed on SEHK) (Note 1)		40276						
Subscription/Conversion price		USD 5.331						
General Meeting approval date (if applicable)		06 May 2020						
5).	US\$1.25 billion 4.75% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,250,000,000			1,250,000,000	0	196,757,437
Type of convertibles		Others (Please specify)		Ordinary Shares				

Stock code of the Convertibles (if listed on SEHK) (Note 1)	40518
Subscription/Conversion price	USD 6.353
General Meeting approval date (if applicable)	06 May 2020

Total C (Ordinary shares): 0

Remarks:

Ordinary Shares mean Ordinary Shares of US\$0.50 each with voting rights for every US\$2 nominal

(D). Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be listed, including Options (other than Share Options Schemes) Not applicable

(E). Other Movements in Issued Share

1. Type of shares issuable (Note 5 and 6)	Ordinary shares	Class of shares	Not applicable	Shares issuable to be listed on SEHK (Note 1, 5 and 6)	Yes	
Stock code of shares issuable (if listed on SEHK) (Note 1, 5 and 6)	02888					
Type of Issue	At price (if applicable)		Issue and allotment date (Note 5 and 6)	General Meeting approval date (if applicable)	No. of new shares of issuer issued during the month pursuant thereto (E)	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Currency	Amount				
1). Repurchase of shares			10 August 2021	12 May 2021	-3,652,000	
2). Repurchase of shares			11 August 2021	12 May 2021	-1,230,000	
3). Repurchase of shares			12 August 2021	12 May 2021	-1,234,618	
4). Repurchase of shares			13 August 2021	12 May 2021	-1,256,000	
5). Repurchase of shares			16 August 2021	12 May 2021	-1,284,091	
6). Repurchase of shares			17 August 2021	12 May 2021	-1,292,000	
7). Repurchase of shares			24 August 2021	12 May 2021	-6,242,168	
8). Repurchase of shares				12 May 2021		-7,701,278

Total E (Ordinary shares): -16,190,877

Remarks:

- (1) A total of 3,652,000 ordinary shares (previously repurchased on market on 4, 5 and 6 August 2021) were cancelled on 10 August 2021.
 (2) 1,230,000 ordinary shares (previously repurchased on market on 9 August 2021) were cancelled on 11 August 2021.
 (3) 1,234,618 ordinary shares (previously repurchased on market on 10 August 2021) were cancelled on 12 August 2021.
 (4) 1,256,000 ordinary shares (previously repurchased on market on 11 August 2021) were cancelled on 13 August 2021.
 (5) 1,284,091 ordinary shares (previously repurchased on market on 12 August 2021) were cancelled on 16 August 2021.
 (6) 1,292,000 ordinary shares (previously repurchased on market on 13 August 2021) were cancelled on 17 August 2021.
 (7) A total of 6,242,168 ordinary shares (previously repurchased on market on 16 to 20 August 2021) were cancelled on 24 August 2021.
 (8) Repurchases of a total of 7,701,278 ordinary shares were made on 23 to 27 and 31 August 2021 but such shares are not yet cancelled as at 31 August 2021.

Total increase / decrease (-) in Ordinary shares during the month (i.e. Total of A to E)	0
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Total increase / decrease (-) in Ordinary shares during the month (i.e. Total of A to E)	-16,190,877
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Remarks:

Ordinary Shares mean Ordinary Shares of US\$0.50 each with voting rights for every US\$2 nominal

IV. Information about Hong Kong Depositary Receipt (HDR) Not applicable

V. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III and IV which has not been previously disclosed in a return published under Main Board Rule 13.25A / GEM Rule 17.27A, it has been duly authorised by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 2);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Submitted by: Wong Yung Cindy

Title: Assistant Company Secretary

(Director, Secretary or other Duly Authorised Officer)

Notes

1. SEHK refers to Stock Exchange of Hong Kong.
2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, no further confirmation is required to be made in this return.

3. "Identical" means in this context:
 - . the securities are of the same nominal value with the same amount called up or paid up;
 - . they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
 - . they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
4. If there is insufficient space, please submit additional document.
5. In the context of repurchase of shares:
 - . "shares issuable to be listed on SEHK" should be construed as "shares repurchased listed on SEHK"; and
 - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares repurchased (if listed on SEHK)"; and
 - . "type of shares issuable" should be construed as "type of shares repurchased"; and
 - . "issue and allotment date" should be construed as "cancellation date"
6. In the context of redemption of shares:
 - . "shares issuable to be listed on SEHK" should be construed as "shares redeemed listed on SEHK"; and
 - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares redeemed (if listed on SEHK)"; and
 - . "type of shares issuable" should be construed as "type of shares redeemed"; and
 - . "issue and allotment date" should be construed as "redemption date"