

Introduction

Standard Chartered Bank has submitted applications to the relevant EU regulators in respect of the exemption from margin requirements for intragroup transactions under Article 11 of EMIR and Commission Delegated Regulation (EU) 2016/2251 supplementing EMIR with regard to regulatory technical standards on risk mitigation techniques for OTC derivative contracts not cleared by a central counterparty. The exemptions apply to initial margin requirements*. Standard Chartered Bank has chosen to put in place intragroup arrangements to comply with the variation margin requirements.

Counterparty pairings approved for the intragroup exemption to the Initial Margin requirements

Counterparty 1	Counterparty 2	LEIs of relevant counterparties		Relationship between the counterparties	Full or partial exemption*	Notional aggregate amount of the OTC derivative contracts for which the intragroup exemption applies**	Relevant regulator
Counterparty 1		Counterparty 2					
Standard Chartered entities requiring an exemption from the Financial Conduct Authority (FCA) only							
Standard Chartered Bank	Standard Chartered Bank Korea	RILFO74KP1CM8P6PCT96	NUXTG47HHHM1K2L0SG39	Subsidiary	Full	Unlimited	FCA

Standard Chartered Bank	Standard Chartered Bank Hong Kong	RILFO74KP1CM8P6PCT96	X5AV1MBDXGRPX5UGMX13	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Taiwan	RILFO74KP1CM8P6PCT96	549300QJEO1B92LSHZ06	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Malaysia Berhad	RILFO74KP1CM8P6PCT96	549300JTJBG2QBI8KD48	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Singapore	RILFO74KP1CM8P6PCT96	549300MDYVVHJ8D1DW28	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Mauritius	RILFO74KP1CM8P6PCT96	549300R0Q58QYRNZLS10	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Nigeria	RILFO74KP1CM8P6PCT96	549300P08UORMR8IFS20	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Brasil	RILFO74KP1CM8P6PCT96	549300RBM4G44LYTMM82	Subsidiary	Full	Unlimited	FCA

Standard Chartered Bank	Standard Chartered Bank Uganda	RILFO74KP1CM8P6PCT96	549300W7CNYGJ68XGD27	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Vietnam	RILFO74KP1CM8P6PCT96	5493002W420BT9C14552	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Cote d'Ivoire	RILFO74KP1CM8P6PCT96	54930016MQBB2NO5NB47	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Bank Cameroon	RILFO74KP1CM8P6PCT96	549300SU7VZY2XMGCD79	Subsidiary	Full	Unlimited	FCA
Standard Chartered Bank	Standard Chartered Securities (Hong Kong) Ltd	RILFO74KP1CM8P6PCT96	549300ZPSDER0RIECF87	Subsidiary	Full	Unlimited	FCA
Standard Chartered entities requiring an exemption from the Financial Conduct Authority (FCA) and the BaFin							
Standard Chartered Bank	Standard Chartered Bank AG	RILFO74KP1CM8P6PCT96	549300WDT1HWUMTUW770	Subsidiary	Full	Unlimited	FCA

Standard Chartered Bank AG	Standard Chartered Bank	549300WDT1HWUMTUW770	RILFO74KP1CM8P6PCT96	Parent	Full	Commodity Derivatives: EUR 11,500.0 Credit Derivatives: EUR 20,000.0 Currency Derivatives: EUR 1,000,000.0 Equity Derivatives: EUR 1,000.0 Interest Derivatives: EUR 1,500,000.0	BaFin
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*Application submitted in respect of initial margin only. 'Full' therefore refers only to exemptions for initial margin and does not refer to exemptions for variation margin. Please note, however, that BaFin does not differentiate the exemption applications between initial margin and variation margin and therefore has granted exemptions in respect of both initial margin and variation margin. 'Full' in relation to submissions filed with BaFin therefore refers to exemptions for initial margin and variation margin.

**'Unlimited' denotes that the relevant regulator has not placed a limit on the notional amount of non-centrally cleared derivatives that may be put in place.