Dear Customer,

We refer to the relationship you maintain with Standard Chartered Bank, Bahrain. According to the Central Bank of Bahrain (CBB) Rulebook, we are required to notify all our customers of their status for the purpose of investment business and certain regulated banking services. For the purpose of this relationship and based on the information and documentation which you have provided to us and are listed in the table below, you are classified as a Retail/Accredited Investor. (delete as appropriate)

Accredited Investors are defined by the Investment Business regulations of the CBB Rulebook, Business and Market Conduct Module - Volume 1, as follows:

- Individuals holding financial assets (either singly or jointly with their spouse) of USD 1,000,000 or more;
- Companies, partnerships, trusts or other commercial undertakings, which have financial assets available for investment of not less than USD 1,000,000; OR

A Retail Investor is defined as an Investor which does not fall within the definition of an Accredited investor as above. The classification as an Accredited Investor means that the client will not benefit from the specific protections afforded to Retail Investors in the Investments Business Regulation (Module BC 8.4, Volume 1 of the CBB Rulebook).

*For the purposes only of regulated activities governed by the Collective Investment Undertakings (CIUs) Volume 7 of the CBB Rulebook, Authorization/Registration Requirements Module, an Expert Investor is defined as follows:

- Individuals holding financial assets (either singly or jointly with their spouse) of USD100,000 or more;
- Companies, partnerships, trusts or other commercial undertakings, which have financial assets available for investment of not less than USD100,000;

An Expert Investor is still considered to be a Retail Investor for the purposes of Volume 1, Business and Market Conduct Module, of the CBB Rulebook (Investment Business), thus benefiting from specific protections as per the CBB rules. An Expert Investor is eligible for CIUs permitted to be offered to Retail and Expert CIUs as per Volume 7, CIUs, CBB Rulebook.

Please complete this form as indicated below and acknowledge receipt of this letter by signing below.

Thank you for your cooperation.

Yours Sincerely,

(Name and signature of Relationship Manager/ Bank Official)

Please check any one of the below: (to be filled in by customer)

- I acknowledge that I have received a copy of this Status Declaration Form and accept that I have been classified as a “Retail Investor” according to the CBB criteria.

- I acknowledge that I have received a copy of the Status Declaration Form and accept that I have been classified as an “Accredited Investor” according to the CBB criteria, as above. I have submitted documents evidencing my Financial Assets, the details of which are outlined in the table below and are attached herewith.

- I acknowledge that I have received a copy of the Status Declaration Form and accept that I have been classified as an “Expert Investor” according to the CBB criteria for the purposes of regulated activities governed by the Collective Investment Undertakings (CIU) Volume 7 of the CBB Rulebook, i.e. (offering of CIUs), as above. I have submitted documents evidencing my Financial Assets, the details of which are outlined in the table below and are attached herewith.

Please check below only if change of category is requested

- I acknowledge that I am classified as a Retail Investor and wish to be treated as an Accredited Investor 
  (to be included if the customer is classified as a Retail Investor)

- I acknowledge that I am classified as an Accredited Investor and wish to be treated as a Retail Investor 
  (to be included if the customer is classified as an Accredited Investor)

(Name of Customer) Date Signature of Customer

(Name of Relationship Manager) Date Signature of Relationship Manager